

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Applicant: James V. Crivello

Title: SILOXANE MONOMERS AND OLIGOMERS

Attorney Docket No.: 0094.1077A

"EXPRESS MAIL" Mailing Label No.: EV 768639966 US

Date of Deposit: December 7, 2005

Enclosed are:

- One (1) Acknowledgment Postcard
- Certificate of Express Mail EV 768639966 US
- Check for \$555 (371 filing fee)
- Transmittal Letter under 35 USC 371 (3 pgs.)
- Copy of International Application as filed (32 pgs.)
- Unsigned Declaration and POA (3 pgs.)
- Application Data Sheet (2 pgs.)
- Petition for Revival of an International Appl. (2 pgs.)
- Statement under 37 CFR 1.137(b)
- Check in the amount of \$500 for petition fee

37 CFR 1.10 Certification

I hereby certify that this paper and the indicated enclosures are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Darlene Novak

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

10/559847  
IAP9 Rec'd PCT/PTO 07 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James V. Crivello Docket No.: 0094.077A

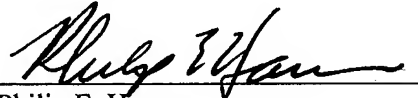
Title: SILOXANE MONOMERS AND OLIGOMERS

"EXPRESS MAIL" Mailing Label No.: EV 768639966 US

Date of Deposit: December 7, 2005

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 7, 2005



Philip E. Hansen  
Agent for Applicant  
Registration No. 32,700

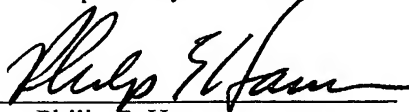
Date of Signature: December 7, 2005

STATEMENT UNDER 37 CFR 1.137(b)

Sir:

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

Respectfully submitted,



Philip E. Hansen  
Agent for Applicant  
Registration No. 32,700

Date: December 7, 2005

HESLIN ROTHENBERG FARLEY & MESITI P.C.  
5 Columbia Circle  
Albany, New York 12203  
Telephone: (518) 452-5600  
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FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 7-2005)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

0094.077A

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/559847

INTERNATIONAL APPLICATION NO.  
PCT/US04/11607INTERNATIONAL FILING DATE  
April 16, 2004PRIORITY DATE CLAIMED  
April 16, 2003

TITLE OF INVENTION

SILOXANE MONOMERS AND OLIGOMERS

APPLICANT(S) FOR DO/EO/US

James V. Crivello

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 768639966 US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/559847</div>	INTERNATIONAL APPLICATION NO. PCT/US04/11607	ATTORNEY'S DOCKET NUMBER 0094.077A
23. Other items or information: Application Data Sheet Petition for Revival of International Application Statement under 37 CFR 1.137(b)		
The following fees have been submitted:		CALCULATIONS      PTO USE
24. <input checked="" type="checkbox"/> Basic national fee ..... \$300		\$      \$300.00
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article prepared by IPEA/US indicates all claims satisfy provisions of PCT Article      \$0 All other situations. .... \$200		\$      \$0.00
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. .... \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. .... \$400 All other situations. .... \$500		\$      \$0.00
<b>TOTAL OF 24, 25 and 26 =</b>		\$      \$300.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)
- 100 =	0	/50 =
0	0	x \$250.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	25 - 20 =	5
Independent claims	4 - 3 =	1
MULTIPLE DEPENDENT CLAIMS (if applicable) <input checked="" type="checkbox"/> + \$360.00		\$      \$360.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$      \$1,110.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$      \$555.00
<b>SUBTOTAL =</b>		\$      \$555.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$      \$0.00
<b>TOTAL NATIONAL FEE =</b>		\$      \$555.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$      \$0.00
<b>TOTAL FEES ENCLOSED =</b>		\$      \$555.00
Amount to be		\$
Amount to be		\$

10/559847

IAP9 Rec'd PCT/PTO 08 DEC 2005

PTO 1380 FEB 07-2006  
Approved for use through 3/31/2007. OMB 0634-0021

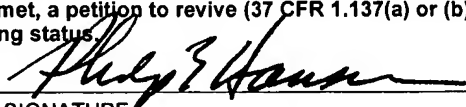
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$555.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1935. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

  
SIGNATURE

Philip E. Hansen

NAME

32,700

REGISTRATION NUMBER

December 7, 2005

DATE